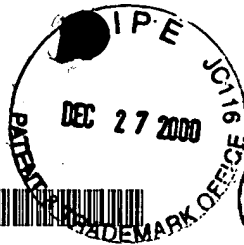


## FORMALITIES LETTER



\*OC00000005351789\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/599,760	06/22/2000	Helen C. Lockhart	I0277/7009 HCL

Wolf Greenfield & Sacks PC  
600 Atlantic Avenue  
Boston, MA 02110

Date Mailed: 08/24/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$2870.
  - \$1908 for 106 total claims over 20.
  - \$702 for 9 independent claims over 3.
  - \$260 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3690.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/02/2001 JABD01 0600000005351789  
 02 FC:201 355.00 00  
 03 FC:202 360.00 00  
 04 FC:203 486.00 00  
 05 FC:205 65.00 00



Sector  
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ATTORNEY'S DOCKET NO. I0277/7009(HCL)

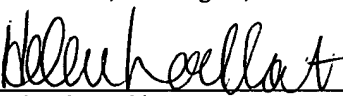
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Martha K. Newell  
Serial No.: 09/599,760  
Filed: June 22, 2000  
For: METHODS AND PRODUCTS FOR MANIPULATING UNCOUPLING PROTEIN EXPRESSION  
  
Examiner: Unassigned  
Art Unit: 1635

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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on the 22nd day of December, 2000.

  
Helen C. Lockhart

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BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Sir:

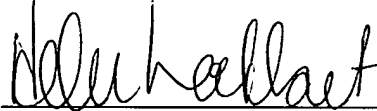
Transmitted herewith are the following document(s):

- [X] Copy of Notice to File Missing Parts of Non-Provisional Application
- [X] Two (2) Month Extension of Time (X2)
- [X] Fee Calculation Sheet (X2)
- [X] Declaration for Patent Application
- [X] Declaration Claiming Small Entity Status (Nonprofit Organization) The University of Vermont and State Agricultural College
- [X] Declaration Claiming Small Entity Status (Small Business) Immune Response Corporation
- [X] Assignment of Invention to The University of Vermont and State Agricultural College
- [X] Form PTO-1595 Recordation Form Cover Sheet
- [X] Preliminary Amendment
- [X] Check in the Amount of \$1,501.00 (Filing Fee Plus Additional Claims, Extension of Time Fee, Assignment Record Fee, and Missing Parts Fee)
- [X] Certificate of Mailing
- [X] Return Receipt Postcard

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at (617) 720-3500, Boston, Massachusetts.

A check in the amount of \$1,501.00 (Filing Fee Plus Additional Claims, Extension of Time Fee, Assignment Record Fee, and Missing Parts Fee) is enclosed. If the fee is insufficient, the balance may be charged to the account of the undersigned, Deposit Account No. 23/2825. A duplicate of this sheet is enclosed.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Helen C. Lockhart', written over a horizontal line.

Helen C. Lockhart, Reg. No. 39,248  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
(617) 720-3500

Docket No. 10277/7009(HCL)  
Date: December 22, 2000  
X12/24/00

Inventor(s): Martha K. Newell

Serial No: 09/599,760

Filed: June 22, 2000

For: METHODS AND PRODUCTS FOR MANIPULATING UNCOUPLING  
PROTEIN EXPRESSION

Attorney Docket No. 10277/7009(HCL)



CHECK BOX, if applicable:

☐ DUPLICATE

### Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	74-20=	54x	\$ 18	= \$ 972.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	12-3=	9 x	\$ 80	= \$ 720.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$	= \$
				BASIC FEE (37 CFR 1.16(a))	\$ 710.00
	Fee for Petition for Extension of Time (if any)				\$ 390.00
	Total of above Calculations =				\$ 2,792.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$ 1396.00
	Assignment Recordation Fee (if any)				\$ 40.00
	Other Fees (Missing Parts) (if any).				\$ 65.00
	TOTAL =				\$ 1,501.00

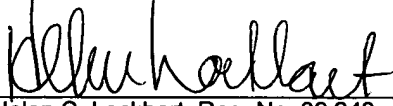
1. A check in the amount of \$1,501.00 is enclosed.

**General Authorization to Charge Deposit Account and General Request for Extension of Time**

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR §§ ☒ 1.16 ☒ 1.17 or ☐ 1.18, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR §§ ☐ 1.16 ☐ 1.17 or ☐ 1.18.

3. If the filing of any paper in this application necessitates an extension of time under 37 CFR § 1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.

  
Helen C. Lockhart, Reg. No. 39,248  
Wolf, Greenfield & Sacks, P.C.  
600 Atlantic Avenue  
Boston, MA 02210-2211  
(617) 720-3500  
Attorneys of Record

Docket No. 10277/7009(HCL)  
Date: December 22, 2000  
X12/24/00